## **Contingent Attentional Capture or Delayed Allocation of Attention?**

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### **Abstract**

Under certain circumstances external stimuli will elicit an involuntary shift of spatial attention, referred to as attentional capture. According to Contingent Involuntary Orienting (Folk, Remington, & Johnston, 1992), capture is conditioned by top-down factors that set attention to respond involuntarily to stimulus properties relevant to one's behavioral goals. Evidence for this comes from spatial cueing studies showing that a spatial cueing effect is observed only when cues have goal-relevant properties. Here we examine alternative, decision level explanations of the spatial cueing effect that attribute evidence of capture to post-presentation delays in the voluntary allocation of attention, rather than online involuntary shifts in direct response to the cue. Three spatial cueing experiments test delayed-allocation accounts by examining whether items at the cued location were preferentially processed. The experiments provide evidence that costs and benefits in spatial cueing experiments do reflect the online capture of attention. The implications of these results for models of attentional control are discussed.

## Attentional Capture

In many organisms, including humans, reflexive orienting responses provide a means of alerting the organism to potentially significant external events. In this exogenous (Posner, 1980) mode of orienting spatial attention shifts rapidly and involuntarily to the external stimulus that triggers the response, thus providing an adaptive a means of controlling attention independent of the current task goals. In essence it constitutes an interrupt system that allows the organism to engage in goal-directed, endogenous allocation of attention without the need to deliberately monitor for significant unexpected events. Current theories of attentional control support both endogenous and exogenous modes of human orienting by including bottom-up pathways whereby external stimulus can summon attention, and top-down pathways whereby task goals can direct attention (e.g. Bundesen, 1990; Cave & Wolf, 1990; Treisman & Sato, 1990; Wolf, Cave, & Franzel, 1989).

Exogenous orienting can be strongly involuntary, so that attention will be captured by an external event even when subjects have strong incentives to inhibit the response (Folk & Remington, 1996; Remington, Johnston, & Yantis, 1992). Similarly, salient external events have been shown to capture attention despite instructions to (endogenously) attend to a different location, evidence that bottom-up pathways dominate top-down (e.g. Müller & Rabbitt, 1989). The strongly involuntary nature of exogenous orienting has contributed to the view that attentional capture is purely stimulus-driven. That is, capture is an involuntary response to properties of the stimulus array, such as abrupt luminance transients (Yantis & Jonides, 1984; Yantis & Jonides, 1990), salient stimulus differences (Theeuwes, 1991; 1992), or its recent history (e.g., the "new" objects of Yantis and Hillstrom, 1994). Attentional capture in such stimulus-driven accounts is insensitive to the current behavioral goals of the organism, so that there is little or no role for mediation by top-down control pathways.

However, results of Folk, Remington, and Johnston (1992) suggest a more active role for top-down control in mediating or conditioning the involuntary capture response. In a series of spatial cueing experiments (Folk et al., 1992; Experiment 4; Folk, Remington, & Wright, 1994) they found that a stimulus (cue) presented prior to a target stimulus would capture attention only if it contained the elementary properties that defined the target. Thus, abrupt onsets captured attention when subjects were set to process targets defined by an abrupt onset, but not when the target was defined as a color singleton. Folk et al. (1992, see also Folk, Remington, & Johnston, 1993; Folk, et al., 1994) theorized that task goals condition attention by defining which features will elicit the involuntary attentional shift. They referred to this as Contingent Involuntary Orienting. In the contingent orienting account, task goals determine in part what features of the environment are of immediate importance. Top-down pathways transmit relevant feature information to attentional control settings, which then condition attention to respond involuntarily to stimuli presenting these features. The appearance of a stimulus with those properties will elicit a rapid, involuntary shift of attention. Rather than involuntary control dominating voluntary control in the presence of specific stimulus properties, top-down mechanisms incorporate behavioral goals into a program that permits a context dependent involuntary attentional response. Recent visual search experiments have provided support for the role of top-down factors, consistent with the contingent orienting account (Yantis and Egeth, 1999; Theeuwes and Burger, 1997).

Despite these recent visual search results, support for top-down mediation of attentional capture comes primarily from spatial cueing experiments, whereas evidence for stimulus-driven capture comes primarily from visual search experiments. Whenever theoretical differences correspond closely to paradigm differences it becomes important to examine the assumptions that relate empirical outcomes to theory. In spatial cueing experiments the presence of a cue validity effect is taken as evidence that the cue drew attention to itself (and consequently its

location). In this paper we ask whether it is possible to account for cueing effects without assuming that the cue elicited a shift of attention. Such an account would present a potential problem for Contingent Involuntary Orienting, since that theory postulates a set of mental operations underlying capture that go beyond the empirical observation of a cueing effect. Specifically, contingent orienting assumes that attentional capture reflects an online reallocation in response to properties of the cue. In addition, use of the spatial cueing paradigm is widespread, making it important to know whether the common interpretation of results in this paradigm rest on assumptions that generalize across a wide range of stimulus conditions and task demands.

### The Control of Attention by Spatial Cues

In a typical spatial cueing experiment, a cue is flashed briefly around one of several potential target locations prior to presentation of the target character. On valid trials the cue occurs at the location of the subsequent target; on invalid trials it occurs at a non-target location. A cueing effect is obtained when response times on invalid trials are slower than on valid trials. This pattern of results (cueing effect) is assumed to arise because the cue has drawn attention to its location. The response time difference is presumed to occur because on valid trials attention will already be focused on the target location when the target is presented, whereas on invalid trials it will be focused on a non-target location. The cueing effect then reflects the misallocation of attention on invalid trials. Folk et al. (1992; 1994) relied on this logic to determine the cuetarget relationships that will produce capture. For example, a significant cueing effect obtained when color-cues were paired with color-targets. In that condition, the cue consisted of a set of four dots briefly flashed around all four potential target locations. Three of the sets were white, one set was red (the cue). In the target frame, an alphanumeric character was presented in each of the four boxes. Three were white, one was red (the target). This result was interpreted as evidence that an attention shift to the location of the red cue was initiated prior to the onset of the target frame. In contrast, no cueing effect was obtained with color singleton targets when the cue was an abrupt luminance onset occurring around a single location. This was taken as evidence that the cue failed to elicit a shift of attention.

However, evidence for capture in Folk et al. (1992) came only from conditions where it was difficult to discriminate the cue from the target. For example, when the target is distinct from background characters in color only, color becomes the property on which the target it located (target finding property). When both cue and target were red color singletons (e.g., Folk et al., 1992; 1994), on invalid trials the target defining property (red) was present at both cue and target locations, whereas on valid trials red occurred only at the target location. This raises the possibility that the increased response times reflected the increased difficulty of deciding where to allocate attention. According to this account, attention shifts to the target location once sufficient evidence for the target finding property has accrued. On invalid trials, both the cue location and the target location contain evidence of the finding property. More evidence will need to be collected to determine which location to attend to, resulting in an increase in response time on invalid trials. To illustrate, assume that beginning with cue onset, evidence accrues as to the location containing the target finding property (red). On valid trials a decision can be made quickly since both the cue and target provide consistent evidence about the location of the finding property. On invalid trials initial evidence from the cue suggests one location, evidence from the target another. Even when the evidence from the cue is insufficient to trigger an attention shift, it adds noise to the decision process, delaying the allocation of attention. According to this account, cueing effects can occur without spatial attention being shifted to the cued location. Indeed, attention is not really captured, simply delayed. The logic is similar to objections we have raised about attributing all instances of all instances of interference from irrelevant singletons in visual search to shifts of spatial attention (Folk & Remington, 1998). The delayed-allocation account posits continued information accrual across the cue-target interval,

which typically lasts on the order of 250 ms. Accumulation and retention of evidence over this period is well within the measured capabilities for sensory stores (e.g. Sperling, 1960).

Delayed-allocation presents a potential problem for contingent orienting, which assumes an immediate response to the presentation of a stimulus with properties for which attention has been set. At present there is no direct evidence that would distinguish between the online allocation and decision level accounts of the cueing effect. However, the two accounts make different predictions about the processing of items at the cued location. Since the processing of stimulus information is enhanced at attended locations, an online shift of attention to the cued location should facilitate the processing of stimuli that occur at that location relative to items at non-cued locations. Since a decision level account entails no shift of attention, only delayed allocation, processing of items at cued locations should not differ from that of items at non-cued locations. Thus, the delayed-allocation account can be distinguished from the contingent capture account by testing for the presence or absence of enhanced identity processing at the invalid cued location.

### Logic of the Experiments

In the remainder of the paper we describe a series of spatial cueing experiments designed to test whether the capture of attention entails the processing of the identity of the item at the cued location. A spatial cue directs subjects' attention to a location that on some trials contains a non-target character whose identity is incompatible with the response to the target. According to the delayed-allocation hypothesis target response times should be unaffected by this incompatibility, since attention is never allocated online in response to the cue, but delayed by the presence of the finding property (color) at the cued location. In contrast, the contingent orienting account predicts a substantial effect of compatibility for foils that occur at the cued location as a result of attention being shifted to the cued location online.

# Experiment I

The design of Experiment 1 attempted to achieve four goals that were necessary to characterize the processing of non-target items at a cued location. First, to ensure that we would get a strong cueing effect we used the color singleton cue-target condition of Folk, et al. (1992), which has been used to demonstrate contingent attentional capture. Second, to measure the processing of non-target characters we varied the compatibility of one of the non-target characters by including in the target display a Foil, one of the target characters ("T" or "L) presented in the non-target color (white). Interference from incompatible foils was used to assess whether identity information was being processed. Third, to test whether the identity of the character at the cued location was preferentially processed the target display included two Neutral characters ("E" & "F"), not members of the target set, "T" & "L". If attention were shifted online in response to the cue, incompatible foils should produce more interference when they occurred at the cued location than at a non-cued location. Fourth, to determine whether any observed interference reflects a sustained commitment of attention or a transitory effect we included three Stimulus Onset Asynchronies (SOA). Transitory effects could result from fading in iconic memory (Delayed Allocation Model) or rapid reallocation of attention (Contingent Orienting Model). In effect, our method combines the critical elements of the flanker effect studies (e.g. Ericksen & Hoffman, 1973; Kramer & Jacobsen, 1991) with a manipulation designed to control the locus of spatial attention.

#### Method

Subjects. Twenty-four subjects from the NASA Ames Research Center subject pool participated in the study for partial credit. Subjects were recruited from local universities and

community colleges. All subjects were between 18-30 years of age and had normal or corrected-to-normal vision.

Apparatus. Stimulus presentation was controlled by an ACER Pentium computer. Stimuli were presented on a 14" NEC Multisync 4FG monitor set at a comfortable brightness level. The monitor was placed at eye level approximately 60 cm from the subject. No attempt was made to stabilize the head or to otherwise control precisely for viewing distance.

Stimuli. A Fixation Display, a Cue Display, and a Target Display were presented in succession on each trial. Figure 1 shows the displays and sequence of events. The Fixation Display consisted of a fixation cross in the center of the screen surrounded by four (4) boxes. The boxes were placed above, below, left, and right of fixation, at the end points of an imaginary cross, centered on the fixation cross. The cross subtended approximately .25° visual angle horizontally and vertically and vertically. Each box subtended approximately 1.8° visual angle horizontally and vertically and was positioned approximately 3.2° from the center of the fixation cross. The boxes and the fixation cross were light gray against the black background of the monitor.

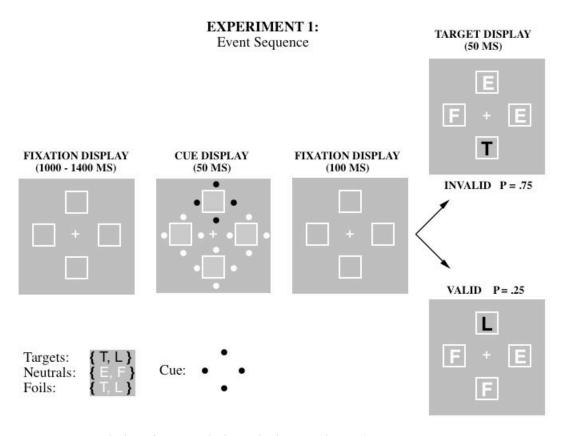


Figure 1. The sequence and timing of events within a trial in Experiment 1. Dark elements represent red items, bright elements represent white items. The target displays are representative of Neutral trials in which no foil character appears.

The Cue Display consisted of the Fixation Display with the addition of sets of four (4) small circles arrayed in a diamond configuration around each of the four boxes. The diameter of each small circle was approximately 0.2° visual angle placed approximately 0.2° from the edges of each box. Three sets of circles were light gray. The fourth, the cue, was a red set of circles surrounding one of the locations.

The Target Display consisted of the Fixation Display with the addition of a single letter in the center of each box. The letters subtended approximately 0.9° visual angle vertically, and approximately 0.6° horizontally. The three non-target letters were presented in same light gray

as in the Cue Display, the single target in the same red as the cue. The SOA between the onset of the Cue Display and the onset of the Target Display was 100, 250, or 450 ms.

Design. Target displays contained the red target character among three white non-target characters. Of the three non-target characters, one was always an "E" and one was always an "F". For Compatible displays, the fourth character was a foil identical to the target. For Incompatible displays the fourth character was the foil character associated with the competing response. For No-Foil displays, the fourth character was one of the Neutral characters ("E, "F") chosen randomly.

There were two types of invalid trials, Neutral and Foil. On Neutral trials, the stimulus at the cued location was one of the neutral characters ("E" or "F"). On Foil trials it was one of the target characters ("T" or "L") printed in white. Likewise, when the cue was valid the target character appeared at the cued location. For consistency, we indicate cue location by the type of character that occurred there: Target, Neutral, or Foil. Figure 2 shows representative displays for compatible and incompatible trial types in Target, Neutral, and Foil cue location conditions. Figure 1 shows displays associated with No-Foil trials.

#### EXPERIMENT 1: Conditions

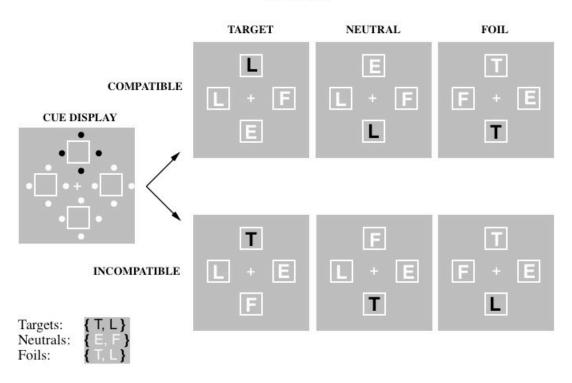


Figure 2: Representative displays from compatible and incompatible foil conditions (top and bottom rows respectively) for all three cue locations: target, neutral, and foil (columns).

The experiment consisted of 360 trials. Table 1 shows how the trials were distributed among the nine cells created by crossing cue location with foil compatibility. The presence of no-foil trials means that only eight of the nine cells could be tested. All conditions were balanced

Table 1

Cue Location		Target Display Type		
	Compatible	Incompatible	No Foil	Total
Target	30	36	18	90
Neutral	54	4 54		108
Foil	54	4 54	54	162
To	otal 14	144	72	360

with respect to SOA and target identity ("T" or "L"). All 360 trials were generated, then randomized and divided into 9 blocks of 40 trials each. Thus, each block contained a mixture of all factors.

Procedure. Subjects read a set of written instructions after which the experimenter explained the experiment again and answered questions. The experimenter stressed the need for fast but accurate responses and explicitly instructed subjects to ignore the "distractors" (cues) and the non-target characters in the Target Display. Following this briefing, subjects were tested in a practice block in a darkened sound-attenuated booth. The experimenter was present during practice to answer any questions and ensure that subjects understood the task. When the subject was ready, the experimenter left the booth and the subject completed the experiment.

At the beginning of the experiment the same set of instructions was presented on the computer screen as a reminder. After reading them, subjects pressed a key to begin the experimental sequence. At the beginning of each block, two trials were chosen at random from the set of experimental trials and presented as practice trials. Practice trials and trials on which errors were made were presented again. Blocks began with the presentation of the set of 4 target location boxes along with a central fixation cross. The beginning of the trial sequence was signaled by the blink of the fixation cross. The trial sequence is shown graphically in Figure 1. A Fixation Display was presented for a randomly chosen duration between 1000 - 1400 ms, followed by the 50 ms presentation of the Cue Display which contained the red Cue surrounding one of the four boxes. The Cue Display was followed by a 50 ms presentation of the Fixation Display. The Target Display was then presented for 50 ms. Participants pressed one of two keys to indicate whether the red character was a "T" or an "L". If the response was correct the next trial was presented after a 1000 ms inter-trial interval. If the response was incorrect, participants were presented a brief tone along with a 500 ms presentation of the word "ERROR" in the middle of the screen. If a key other than the two response keys were pressed, the phrase "WRONG KEY" was displayed for 500 ms. The trial sequence was then begun after a 1000 ms inter-trial interval. At the end of each block response time and error feedback was given. If errors were high a message was presented instructing the participant to reduce errors. Participants were informed that they could rest as long as they chose between blocks.

### Results<sup>1</sup>

Cue Validity Effect: Figure 3 plots response times for compatible, incompatible and nofoil display types as a function of cue location separately for each SOA. The effect of cue validity (cueing effect) was assessed by comparing performance at Target and Neutral cue locations. A repeated measures analysis of variance was conducted on the mean correct response times with cue location (Target, Neutral), trial type (compatible, incompatible, no-foil), and SOA (100, 250, 450) as factors. There were significant main effects of cue location (F[1,23] = 36.86; p < .001), trial type (F[2,46] = 9.43, p < .001), and SOA (F[2,46] = 9.25, p < .001). Neither the

SOA by cue location interaction (F < 1), the SOA by compatibility interaction (F[4,92] = 1.71, p < .2), nor the 3-way interaction of SOA by cue location by compatibility (F[4,92] = 1.67, p < .2) approached significance.

Compatibility Effects: A repeated measures analysis of variance compared response times for compatible and incompatible foils at Neutral and Foil cue locations at each SOA. The analysis revealed significant main effects of cue location (F[1,23] = 12.12, p < .01), compatibility (F[1,23] = 123.79, p < .001), and SOA (F[2,46] = 6.22, p < .01), and a significant interaction of

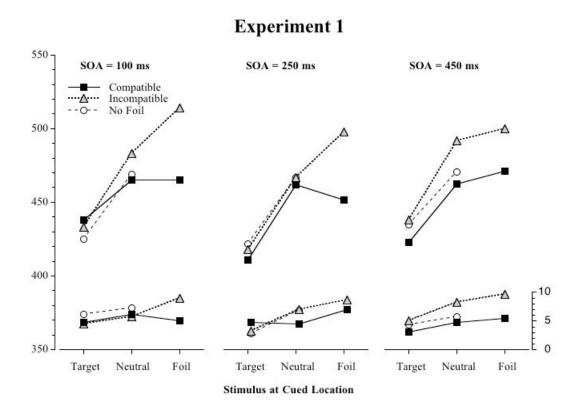


Figure 3: Target identification times (top row) and error rates (bottom row) for compatible, incompatible, and no-foil conditions at the three cue locations (target, neutral, and foil) at each of the three stimulus onset asychronies in Experiment 1.

compatibility and cue location (F[1,23] = 29.99, p < .001). The difference in response time between incompatible and compatible foils was 15 ms (477 – 462) in the neutral cue location condition, compared to 42 ms (501 – 459) in the foil cue location condition. The significant 3-way interaction of cue location, compatibility, and SOA (F[2,46] = 6.13, p < .01) reflected changes in the magnitude of the compatibility effect with SOA. The differences between compatible and incompatible characters at the Foil cue location were 48, 47, and 31 ms for SOAs of 100, 250, and 450 ms, respectively. Post-hoc analysis (Neuman-Keuls, alpha = .01) found the magnitude of the compatibility effect significant at all three SOAs with the effect size at 450 ms significantly less than the other two.

*Error Data:* The error data is shown in the bottom of Figure 3. In general errors follow the same pattern as response times, suggesting that the response time effects were not the result of a speed-accuracy trade-off.

### Discussion

The interaction of foil compatibility and cue location was exactly that predicted by Contingent Involuntary Orienting. The size of the compatibility effect was significantly greater in the Foil than in the Neutral condition, clear evidence that the identity of the character at the cued location was processed to a greater degree than characters at non-cued locations. Further, this increase was due to increased interference from incompatible foils in the Foil cue condition. The longest response times were associated with an incompatible foil at an invalid cue location. The intrusion of identity information from characters at invalid cue locations is inconsistent with the delayed allocation account.

The delayed-allocation account also predicted a reduction in the size of the cueing effect with increasing SOA. The cueing effect can best be measured by comparing Target and Neutral conditions, for which the comparison is uncontaminated by compatibility effects (the interaction of cue location and compatibility was not significant for these two cue locations). Cueing effects at SOAs of 100, 250, and 450 ms were 40, 48, and 43 ms respectively. Clearly, there is no support here for the delayed-allocation account. In short, no support for delayed allocation was found either in the compatibility effects or in the size of the cueing effect with SOA.

It is also important to note that lengthening the cue-target SOA did not change the main pattern of results. Post-hoc analysis (Neuman-Keuls, alpha = .01) revealed significant compatibility effects in the Foil condition at all SOAs, though the effect was significantly reduced at the 450 ms SOA. This reduction did not result from a systematic trend toward decreased interference from incompatible foils at the cued location; those response times were 511, 495, and 496 ms at SOAs of 100, 250, and 450 ms respectively, compared to 463, 448, and 465 ms for compatible foils. Overall, there is no evidence to suggest that the longer SOA is associated with a decreased processing of items at the cued location. This strongly supports the online allocation of attention to the cue and suggests that the effects of attentional on processing are not transitory. It is difficult to reconcile this sustained interference with the Delayed Allocation model in which no online shift of attention is presumed to occur. Within the context of online shifts of attention, as in contingent orienting, subjects could decide to shift quickly away from the cued location once they determine it is not the target. The persistence noted here suggests either that attention is not shifted away, or that the processing set in motion by the initial allocation continues independent of subsequent changes in attention allocation.

Another interesting outcome was that compatibility effects were not confined to the Foil cue condition. A Neuman-Keuls post-hoc analysis (alpha = .05) showed significant compatibility effects in the Neutral conditions (i.e., when a foil occurred at a non-cued location). To some degree then, identities of characters at locations other than target and cue were being processed. It is not clear why the attention filter is "leaky". Perhaps some degree of automatic processing is possible with such highly familiar alphanumeric. Nonetheless, the interaction of cue location and foil compatibility clearly indicates that the identities of characters at cued locations were being preferentially processed, inconsistent with the delayed-allocation account.

## Experiment 2

The results of Experiment 1 support the online capture of attention by the irrelevant color cue, and are inconsistent with a decision level account of the cueing effect. Even stronger support for the online allocation could be provided by more direct evidence that the shift of attention occurred in close temporal proximity to the cue. To accomplish this Experiment 2 measured the effects of a foil that appeared simultaneous with the onset of the cue display, rather than with the target display. The foil was presented briefly (50 ms) and then pattern-masked. We reasoned that because the foil (a) appeared 150 ms prior to the target display, (b) was masked, and (c) was presented for only 50 ms, its identity could influence response time only if attention were directed at its location in close temporal proximity to its presentation. Accounts that assume attention is shifted in response to cue onset, such as contingent capture, predict compatibility effects

when the foil appears at the cued location and no effects when it appears at a non-cued location. Decision level accounts, such as the delayed allocation model, on the other hand, predict no greater effect of compatibility in the foil condition because there would be no differential allocation of attention at the time of foil presentation.

#### Method

*Subjects*. Fifteen undergraduate subjects from Villanova University participated in partial fulfillment of a course requirement. All had normal or corrected-to-normal visual acuity.

Apparatus. Stimuli were presented on a Princeton Graphics Systems Ultrasync monitor, controlled by a Zenith 286 microcomputer equipped with a Sigma Design, Color 400 (680 x 400) graphics board. Subjects viewed the monitor from a distance of 50 cm through lensless goggles attached to a porthole on the front of a viewing box.

Stimuli. Stimuli were identical to those used in the first two experiments with the following exceptions. Simultaneous with the onset of the Cue Display, a foil character was presented for 50 ms in one of the four boxes, then replaced by a 50 ms presentation of masking characters in each of the four boxes. The foil character was the same dimensions and color as the foils used in the previous experiments. Masking characters consisted of short, vertical, horizontal, and diagonal line segments randomly distributed in an imaginary square subtending .6 x .6 degrees visual angle. The target display consisted of the (red) target in one box, and (white) neutral characters (E or F, chosen randomly) in the other three boxes.

Design and Procedure. The experiment consisted of 8 blocks of 64 trials each. In each block of 64 trials, 16 trials were valid, 48 were invalid. Half the time the foil appeared at the cued location, half the time at one of the three non-cued locations. As in the first two experiments, on half the trials the foil was compatible with the subsequent target, on half incompatible. All factors were fully crossed. All other features were identical to previous experiments.

### Results & Discussion

Figure 4 shows the effect of compatibility as a function of whether or not the foil occurred at the cued location. Results are plotted separately for valid and invalid trials. Compatibility effects were observed for both valid and invalid trials when the foil occurred at the cued location, but there was no effect of compatibility when the foil was at a non-cued location.

## **Experiment 2**

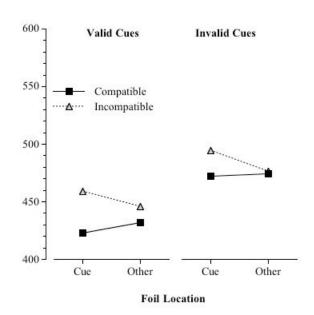


Figure 4: Target identification times (in milliseconds) as a function foil location in Experiment 2.

A repeated-measures analysis of variance conducted on mean correct response times found significant main effects of foil compatibility (F[1, 14] = 16.87, p < .001) and cue validity (F[1, 14] = 9.88, p < .01). Importantly, there was a significant interaction between foil location (cue location, non-cue location) and compatibility (F[1, 14] = 10.44, p < .01). When the foil occurred at the cued location mean response time for compatible and incompatible foils was 447 and 477 ms respectively (F[1, 14] = 36.68, p < .001), compared to 453 and 461 respectively (F[1, 14] = 1.59, p > .05) when the foil occurred at a non-cued location. Consistent with the prediction of contingent orienting, foil compatibility affected response time only when it occurred at a cued location. This result strengthens the evidence for online allocation of attention in response to the cue by showing that characters presented briefly at the time of the cue, then masked, can affect target response time only when they occur at a cued location.

Analysis of variance on error percentages revealed a significant effect only for cue validity (F[1, 14] = 5.31, p < .05).

## Experiment 3

Both previous experiments found increased interference from incompatible foils at the cued location, evidence that attention shifted online in response to the presentation of the cue. To firmly establish the links between online shifts of attention and the observed compatibility effects, it is important to show that no such enhanced processing occurs when attention is not drawn to the cue. Contingent Involuntary Orienting predicts that shifts of attention should not occur when the cue does not contain the target finding property. Previous work (e.g. Folk et al.,

1992) have shown that there is no cueing effect under these conditions. It remains to be seen whether processing is enhanced even when the theory predicts no cueing effect.

Experiment 3 tests this by pairing motion and color singleton targets with a motion singleton cue. In previous work, Folk et al. (1994) have shown that motion singletons capture attention when the target is defined by motion, but not when targets are defined by color. In Experiment 3 the conditions of Folk et al. (1994) are combined with the compatibility manipulations used above. For one group of subjects the motion cue is paired with a motion target (Motion/Motion condition). For the other group the motion cue is paired with the color singleton target used in the previous experiments (Motion/Color). Contingent orienting predicts capture, and hence interference from incompatible items at the cued location, only in the Motion/Motion condition. Since no capture is expected in the Motion/Color condition, this condition provides an important control for determining whether identity processing is associated with attention or the results of extraneous factors.

#### Method

Stimuli & Displays. The method follows closely that of Folk, et al. (1994). The Cue Display was created by adding a set of four small which circles (.23°) surrounding each location to the Fixation Display used in previous experiments. The Cue itself was a 40 ms, 45° clockwise rotation of the set of circles surrounding one location. Target Displays in Experiment 3 always contained the same four characters: the two target characters ("L" and "T"), one of which was the Target, and the two Neutrals (white "E" and "F"). In the Motion/Color condition, the Target was the singleton red item among four white characters, as in the previous experiments. In the Motion/Motion condition, the Target was the rotated item. The rotation used to indicate the Target, like the motion cue, was a 40 ms rotation of 45°.

Subjects. Subjects were undergraduates from the Villanova University Psychology Human Subjects pool participated in the Motion/Motion. Twenty-six participated in the Motion/Motion condition. A separate group of 16 subjects were tested in the Motion/Color condition. All had normal or corrected to normal visual acuity (20/35 or better). Age ranged from 18 - 24. All received credit toward a research requirement for General Psychology.

Procedure. Figure 5 shows the stimuli and sequence of events for the Motion/Motion condition. The Fixation Display was followed by a 120 ms presentation of the Cue Display, composed of three 40 ms segments. For the first 40 ms the set of four circles surrounding each location were presented in canonical orientation. In the second 40 ms the set of four circles around the cued location was rotated 45°. In the final 40 ms the rotated set of circles was returned to its canonical orientation. The Fixation Display was presented again for 100 ms, followed by the Target Display. The Target Display consisted of three 40 ms segments, in the second of which the Target was rotated 45°.

Subjects completed 8 blocks of 50 trials, 10 practice trials followed by 40 experimental trials. Of the 40 experimental trials, 10 were Target cue location trials (valid), 10 were Foil cue location trials, and 20 were Neutral cue location trials. On half the trials the target was the "T", on half the "L". The design of Experiment 3 differed from the previous experiments in that all target displays contained an incompatible foil. Compatible and no-foil trials were eliminated.

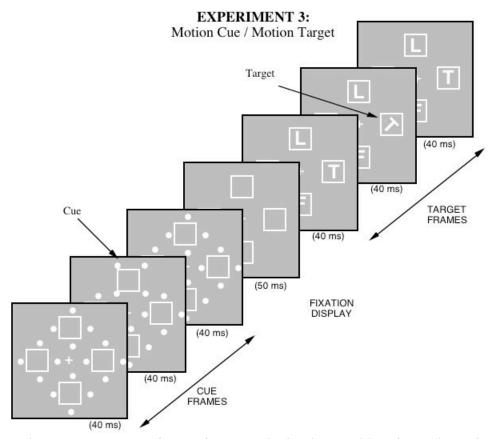


Figure 5: Stimulus displays and sequence of events for the motion/motion condition of Experiment 3. Target displays in the motion/color condition are the same as Experiments 1 and 2 (see Figures 1 and 2).

### Results

*Motion/Motion:* Mean response times for Motion targets in Target, Neutral, and Foil conditions were 595, 635, and 645, respectively. A one-way repeated measures analysis of variance revealed a significant effect of location (F[2, 50] = 31.02, p < .0001). Planned comparisons showed that the Target response times were faster than Neutral response times (F[1, 25] = 35.76, p < .001) and that Neutral response times were faster than Foil response times (F[1, 25] = 4.35, p < .05).

Overall error rate was just over 13%. Error rates for Target, Neutral, and Foil cue locations were 9%, 12%, and 19%, respectively. Analysis of variance showed the condition effect for errors to be significant (F[2, 50] = 15.28, p < .0001). A planned comparison test showed that error rate in the Foil condition was significantly greater than in the Neutral condition (F[1, 25] = 14.14, p < .01).

*Motion/Color*. Mean response times for Target, Neutral, and Foil conditions were 529, 529, and 529 ms respectively. Error rates in all conditions were 2% or less.

### Discussion

The predictions of the Contingent Involuntary Orienting hypothesis were confirmed. Only the Motion/Motion condition showed the combination of a significant cueing effect coupled with interference from the Incompatible Foil when it occurred at the cued location. The Motion/Color condition showed neither effect. The magnitude of the interference effect in the Motion/Motion condition was smaller than in previous experiments. The difference between Neutral and Foil conditions, though significant, was

only 10 ms. Elevated error rates for Incompatible Foils in the Motion/Motion condition are consistent with a speed-accuracy trade-off whose effect would have contributed to reducing response times in that condition.

### General Discussion

### Contingent Involuntary Capture.

The experiments described here found a consistent pattern of interference from the identities of incompatible foil characters presented at the cued location when attention was captured by the cue. This pattern is evidence for an online allocation of attention in response to the cue, supporting the Contingent Involuntary Orienting account of attentional capture. In keeping with contingent orienting, the effect of foil compatibility was observed only in the presence of a cueing effect resulting when cues and targets shared the target finding property, either color or motion. In contrast, neither a cueing effect, nor a compatibility effect was obtained when the target finding property was not present in the cue. The presence of a significant cueing effect accompanied by interference from incompatible characters at the cued location is strong evidence that attention was drawn online to the cue in keeping with contingent orienting.

In contrast, no support was found for decision level accounts, in which the cueing effect results from a delay in the allocation of attention on invalid trials because evidence for the target finding property is present at two separate locations. In the delayed-allocation account there is no capture of attention. Hence, there is no reason for the identities of characters at the cued location to be processed to a greater degree than at other locations. We can reject the delayed-allocation account on the evidence that the identities of items incompatible with the target produce more interference when they occur at cued rather than non-cued locations.

The presence of identity processing at cued locations is consistent with evidence from visual search (Theeuwes, 1996), suggesting that capture in the spatial cueing paradigm is not fundamentally different from that seen in visual search experiments. Instead, the results support the contention that costs and benefits used to infer capture in the spatial cueing paradigm are due to online allocation of processing resources also involved in shifting attention in visual search.

## Parallel identity processing.

Small compatibility effects at the Neutral cue location suggested that the identity of the foil produced some interference when it occurred at an non-cued location. This is especially pronounced at the 450 ms. SOA of Experiment 1. This observation is consistent with results from flanker studies (e.g. Ericksen & Hoffman, 1973; Kramer & Jacobsen, 1991) in suggesting that attention is a "leaky" spatial filter, not tightly distributed around the attended region (see also Yantis & Johnston, 1990). Alternatively, it is possible that with only four single alphanumeric characters our displays produced low perceptual load that promoted late selection (Lavie, 1995; Lavie & Tsal, 1994; Yantis & Johnston, 1990), allowing multiple characters to be identified. Whether the leaky filtering is on the input to identification (early selection) or the output (late selection), our main claim is unchanged. The empirical results clearly indicate substantially more interference when the incompatible character occurs at the cued location.

### **Footnotes**

1. We have conducted two replications of Experiment 1 using a fixed, 150 ms SOA. One was motivated by concern that the white background used for the boxes and distractor characters might allow the red of the cue to "bleed through", producing the sense of a desaturated red in the character at the cued location. To control for this, and to ensure that the specific color choices had no effect, we tested a new group of 16 subjects using the red singletons of Experiment 1 as cue and target against a background of green distractors. The other used the motion/motion condition of Experiment 3 to generalize across cue types. Both experiments replicated the results presented here.

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